



& City of Dublin ETB Craft Unions (e.g. TEEU, INPDU, UCATT and BATU)

Guidance Notes

To accompany the *Policy for the Prevention and Resolution of Sexual Harassment and Harassment at Work*

(*Version: 1 September 2025)

Business Owner:	Organisation, Support & Development
Document Title:	Guidance Notes to Accompany Prevention & Resolution of Sexual Harassment & Harassment at Work
Linked to:	Prevention & Resolution of Sexual Harassment & Harassment at Work Policy
Document No:	HR009/HSHG/V3/25
Source:	ETBI 2025

PREFACE

These Guidance Notes are provided by way of an aide to the parties to a complaint and those involved in administering the *Policy for the Prevention and Resolution of Sexual Harassment and Harassment at Work*– Version: 1 September 2025

Guidance Notes should always be read in conjunction with the policy. Wherever a disputed issue may arise with respect to interpretation, the *Policy for the Prevention and Resolution of Sexual Harassment and Harassment at Work* shall have primacy.

These Guidance Notes may be subject to review, modification and updates from time to time as may arise on direction of the ETBI/Unions' Consultative Forum.

All references to ETB are understood to comprehend the appropriate Education and Training Board (ETB).

It is important that ETBs have a Dignity at Work Charter in place which references the suite of policies which are relevant to same.

Whether formal or informal, a complaint must be made within six months of the latest incident(s) of alleged behaviour. In exceptional circumstances, the six-month time limit may be reviewed. The decision on whether to admit a complaint under this procedure rests with the Head of Human Resources in the respective ETB.

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Template	Document/Template Type	Appropriate to the following usage:
Template A1	Aide-mémoire for ETB Contact Person	Internal ETB Document

		NB: The form can be used for respondent parties also. In such circumstances, references to complainant and respondent are reversed when completing the form.
Template B1	Assessment Agreement for Mediation	Assessment Agreement for use by Mediator & parties to the complaint where mediation is undertaken as an appropriate remedy for conflict at work
Template B2	Record of the Outcome of Mediation (Exemplar)	Completed by Mediator & forwarded for retention by ETB HR Department
Template C	Workplace <i>Harassment/Sexual Harassment</i> Complaint Form	Completed by complainant & forwarded to the Head of HR in the ETB
Template E2	Response to Complaint Form	Issued by the Head of HR for completion by the respondent

TEMPLATE A1 – Aide-mémoire for ETB Contact Persons

ETB headed paper

STRICTLY CONFIDENTIAL

Aide-mémoire for ETB Contact Persons

Please note that Contact Persons are available to both complainant and respondent parties. Where the form is used for respondent party/ies, references to complainant and respondent are reversed.

<i>ETB name:</i>	
<i>Name of ETB Contact Person:</i>	
<i>Name of staff member:</i>	
<i>Confirm if staff member is a complainant or a respondent.</i>	
<i>Contact phone number, which the complainant staff member is happy to provide</i>	
<i>Date & time of conversation (by phone, in person etc.)</i>	
<i>Conversation No. (X of X)</i>	
<i>Initials of respondent:</i> <i>In the interest of confidentiality, please refrain from recording information that may identify other parties.</i>	
<i>Any action taken by staff member to date (supply details):</i>	

Have you as the Contact Person...

Questions	YES	NO
1. Listened to the staff member's concerns?		
2. Summarised back to the staff member what you understand to be his/her concerns?		
3. Have you informed the staff member, in a non-directional manner , of the options available to them under the Prevention Policy?		

<p><i>Option</i> Whether another policy would be more appropriate e.g. the nationally agreed grievance procedure? Any decision in this regard is a matter for the staff member concerned.</p>		
<p><i>Option</i> Mediation – have you explained what is involved?</p>		
<p><i>Option – Employee Assistance Service/Counselling</i></p> <p>The independent employee assistance service provides professional guidance and counselling. Have you directed them to their website? Have you advised what is involved? Have you provided contact details for the service?</p>		
<p><i>Option</i> To make a formal complaint and the process involved?</p>		
<p>4. Have you mentioned that s/he contact their trade union to discuss their concerns?</p>		
<p>5. Has the staff member indicated that s/he might be willing to engage in one of the options mentioned?</p>		
<p>6. Have you already emailed/posted the staff member a copy of the <i>Policy for the Prevention and Resolution of Sexual Harassment and Harassment at Work</i> and other relevant information, e.g., on mediation, employee assistance service/counselling, a copy of the nationally agreed grievance procedure, etc.? If not, have you told the complainant when s/he should expect to receive it?</p>		
<p>7. Have you asked the complainant to revert back to you within the next 2-3 days having considered their options and having had a chance to look at the <i>Policy for the Prevention and Resolution of Sexual Harassment and Harassment at Work</i>?</p>		
<p>8. Has the complainant indicated when s/he will revert back to you?</p>		
<p>9. Have you completed this aide memoire?</p>		
<p>10. Have you informed the complainant that you will send them a copy of this aide memoire and when s/he would expect to receive it?</p>		
<p>11. Have you explained that this aide-memoire will be retained in a strictly confidential and securely placed file marked <i>“Contact Persons <relevant year, e.g. 20XX> and will be destroyed by shredding following a period of six months?”</i></p>		
<p>12. Have you explained that the retention of this information for a period of six months is merely as an aide-memoire to the Contact Person to</p>		

assist you in the event that the complainant may contact you again within that six-month period?		
13. Have you explained that no other records regarding the conversation will be retained in any other files (e.g., personnel files)?		
14. Have you diarised forward to ensure that you contact the complainant in at least one working week to check in with them? If so, list the date.		

Date: _____

NOTE: The role of the ETB Contact Person does not extend to intervening or approaching any person on behalf of the staff member.

Retention of Aide-memoire: It is the policy of the relevant Education and Training Board to retain the original copy of the Aide-memoire (as completed by the Contact Person) in a strictly confidential and securely placed file marked "**Contact Persons <relevant year, e.g. 20XX>**". The Aide-memoire is just as its meaning suggests, to refresh the Contact Person's memory in the event that a further contact to them is made on the same issue by the same person within six months. It is the policy of the ETB concerned that the aide-memoire will be destroyed by shredding following a period of six months duration from its initial completion.

Yes or No?	
Do you accept that the Mediator will not take sides?	
Yes or No?	

Do you understand/accept that:

- The process will not assign blame to anyone but will explore and acknowledge how the conflict has evolved.
- The purpose of Mediation is for the individuals in dispute to find their own solutions with the assistance of the Mediator.
- The process is entirely voluntary and will not succeed if the parties are not fully engaged or are unwilling participants.
- The process involves each person speaking while the other listens.
- Following this part of the process, there may be some discussion, but it should be within strict bounds.
- Separate meetings can occur at any time during the mediation process to check out a person's concerns, confront unhelpful behaviour, or help people think through their options.
- The discussion will shift toward the future and what will happen from now on.
- The parties agree on an agenda of issues which need resolution.
- The parties will work through each issue on the agenda, generating a number of ideas then weighing, adjusting and testing the alternatives to craft a workable, mutually satisfactory outcome.
- If the parties are able to settle their differences, the Mediator will write a formal agreement containing these decisions. Everyone involved signs and keeps a copy.
- The Mediator may be invited to review the operation of the agreement within a specific period at the request of the parties.
- No full session will exceed 2 hours.
- Most situations should be resolved in 2-3 sessions.
- It will require the parties looking at the wider picture.
- It will require an element of examining one's own behaviour and responses to difficult situations.
- It will require each person to "walk in the shoes of the other" and see the picture from the other person's perspective.
- The goal of mediation is to help people improve their confidence in handling conflicts and help rebuild a professional working relationship.
- An ultimate workable resolution may require engagement with parties other than those directly involved. ***(Please indicate here who you consider might be relevant to the process).***

I have read and understood the above and am willing to engage in the mediation process. If at any stage I am of the view that mediation is not appropriate, I reserve my right to withdraw and exercise other options available to me.

Signed _____

Date _____

[Insert name]

TEMPLATE B2 – Record of the Outcome of Mediation (Exemplar)

**Record of the Outcome of Mediation under the
*Policy for the Prevention of Harassment and Sexual Harassment at Work***

Name(s) of complainant:	
Name(s) of respondent:	
Name of Mediator:	
Dates of sessions undertaken:	

The above-named parties have engaged in a mediated process involving <X number> of sessions.

The outcome of these sessions has resulted in:

An agreed outcome

OR

An agreed outcome not being achieved

Mediator's signature: _____

Date: _____

This record should be furnished by the Mediator, to the HR department of the ETB (marked strictly private and confidential for the attention of the Head of HR) and copied to the parties to mediated process.

A copy will be retained on the personnel file of the parties to the process for a period of one year where mediation has been successful. Where mediation has been unsuccessful, a copy will be retained on file until the expiration of Formal Procedure (if invoked) and for a period not exceeding six years thereafter.

TEMPLATE C – Workplace Harassment and Sexual Harassment Complaint Form

<Insert ETB LOGO>

Workplace Harassment and Sexual Harassment Complaint Form

The complaint form must be used on submission of a formal complaint.

Harassment is defined in section 14A (7) of the EEA as any form of unwanted conduct related to any of the prohibited grounds which has the purpose or effect of violating a person's dignity and creating an intimidating, degrading, humiliating or offensive environment for the person. Harassment or Sexual Harassment / Harassment that is not linked to one or more of the discriminatory grounds is not covered by the EEA.

Sexual harassment is defined in section 14A (7) of the EEA as any form of unwanted verbal, non-verbal or physical conduct of a sexual nature which has the purpose or effect of violating a person's dignity and creating an intimidating, degrading, humiliating or offensive environment for the person.

These are legally distinct concepts and so a behaviour can be deemed either sexual harassment and harassment or harassment, not both.

Before completing this form, it is recommended that you familiarise yourself with the ETB's *Policy for the Prevention and Resolution of Sexual Harassment and Harassment at Work*.

The complaint form **must be completed in full**. By way of guidance, you should have regard to such matters as providing:

- Clear specific allegations against named individual(s)
- Dates and times of incident(s)

- **A list of witnesses, if any.** The complainant is required to submit the names and contact details of witnesses to specific incident/s (if any) in a list as part of the complaint submitted and within the timeframe prescribed by the policy. Only persons who are in position to offer direct evidence in respect of an alleged incident(s) may be nominated by the complainant. **Generalised statements in the nature of character references are not witness statements.** Copies of witness statements (if any) will be provided to both parties to the complaint in accordance with natural justice and fair procedure.
- Direct quotes if they can be recalled.
- A brief description of the context of each incident.
- A brief description of the impact/effect each incident had on you.
- Any other relevant supporting evidence.
- Except for mediation, details of previous approaches made to the respondent (if any) and the outcome of same.

You should complete and submit this if you consider your complaint meets the definition of harassment or sexual harassment. **Contact details are provided at the end of this form, advising to whom you should submit your complaint.** ☒

1. PERSONAL DETAILS (of the person making this complaint)

FULL NAME	<i>AND</i>	WORKPLACE ADDRESS
TELEPHONE NUMBER		EMAIL ADDRESS

2. PERSON RESPONSIBLE FOR THE ALLEGED SEXUAL HARASSMENT / HARASSMENT

FULL NAME	WORKPLACE ADDRESS
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	<i>AND</i>	
TELEPHONE NUMBER		EMAIL ADDRESS

3. WORKING RELATIONSHIP TO YOU (please tick)

MANAGER/SUPERVISOR SUBORDINATE

CO-WORKER OTHER

4. HARASSMENT/SEXUAL HARASSMENT BEHAVIOURS

Behaviour which makes for a harassment pattern will likely include not just one but a range of the following behaviours. **Tick any of these that are relevant to you and provide a description of the behaviour/s under 5. Details/Particulars of Alleged Harassment/Sexual Harassment.'**

EXAMPLES OF HARASSMENT

Tick as relevant to your complaint	Behaviour	Date(s)
<input type="checkbox"/>	Verbal harassment – jokes, comments, ridicule or songs	
<input type="checkbox"/>	Written harassment - including graffiti, text messages, emails, social media or internet posts	

	Physical harassment - jostling, shoving or any form of assault	
	Intimidatory harassment - gestures, posturing or threatening poses	
	Visual displays such as posters, emblems, or badges	
	Excessive monitoring of work	
	Isolation or exclusion from social activities	
	Unreasonably changing a person's job content or targets	

The list is not exhaustive. If you consider that other behaviours constitute Sexual Harassment / Harassment in accordance with the definition on page 1 of this form, please list them in the blank spaces allotted above.

EXAMPLES OF SEXUAL HARASSMENT

Tick as relevant to your complaint	Behaviour	Date(s)
	Physical conduct of a sexual nature - this may include unwanted physical contact such as unnecessary touching, patting or pinching or brushing against another employee's body, assault and coercive sexual intercourse	
	Verbal conduct of a sexual nature - this includes unwelcome sexual advances, propositions or pressure for sexual contact, continued suggestions for social contact outside the workplace after it has been made clear that such suggestions are unwelcome, unwanted or offensive, suggestive remarks, innuendo or lewd comments, graffiti, written materials, emails, text messages or social media posts	
	Non-verbal conduct of a sexual nature - this may include the display of pornographic or sexually suggestive pictures or objects. It may also include stalking, indecent exposure, leering, whistling or making sexually suggestive gestures	
	Gender-based conduct - this includes conduct that denigrates or is abusive of an employee for reasons related to his or her sex such as derogatory or degrading abuse or insults which are gender based. This might include conduct that insults or degrades an employee because she is pregnant or because s/he is transgender	

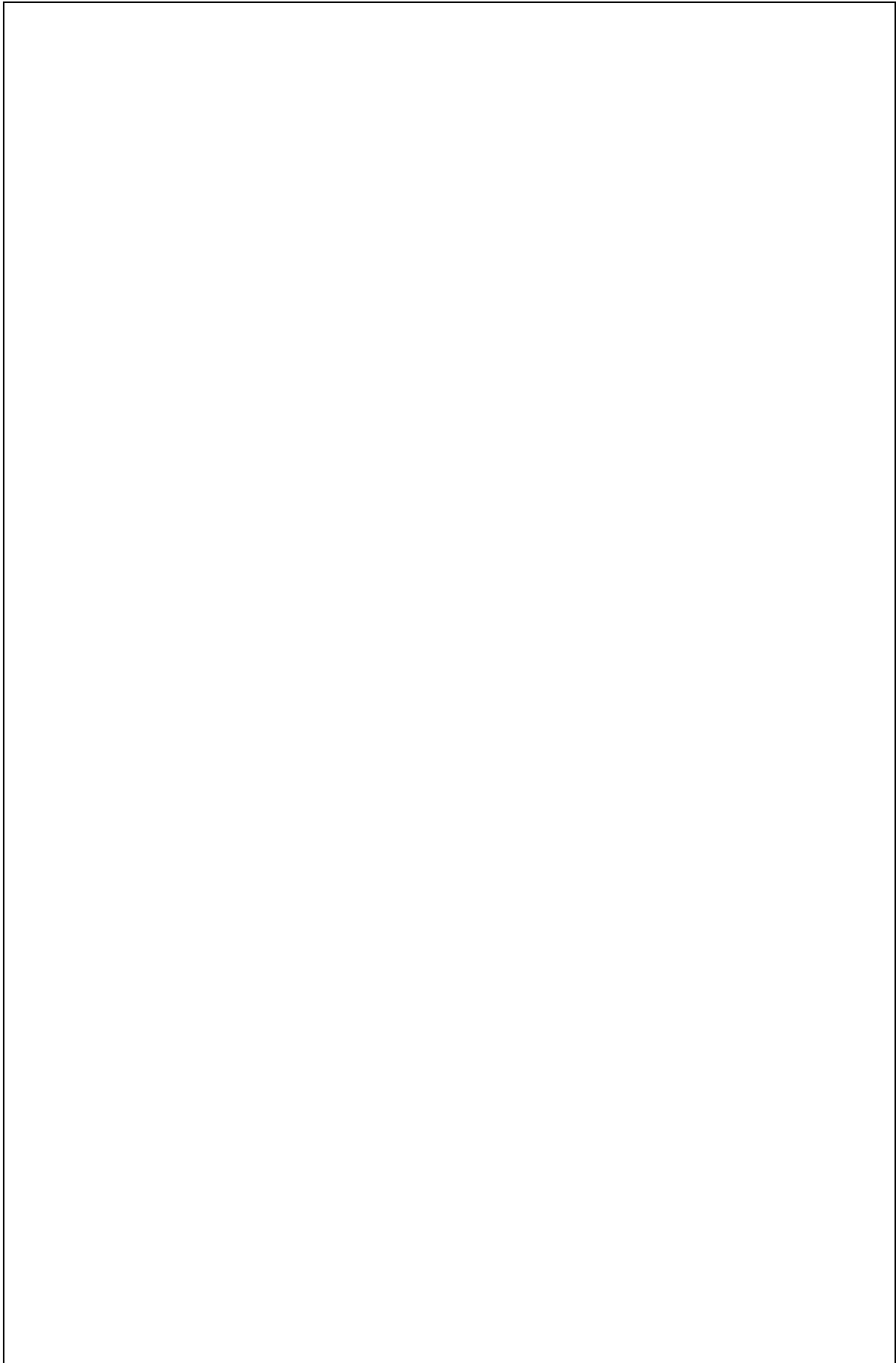
The list is not exhaustive. If you consider that other behaviours constitute Sexual Harassment / Harassment in accordance with the definition on page 1 of this form, please list them in the blank spaces allotted above.

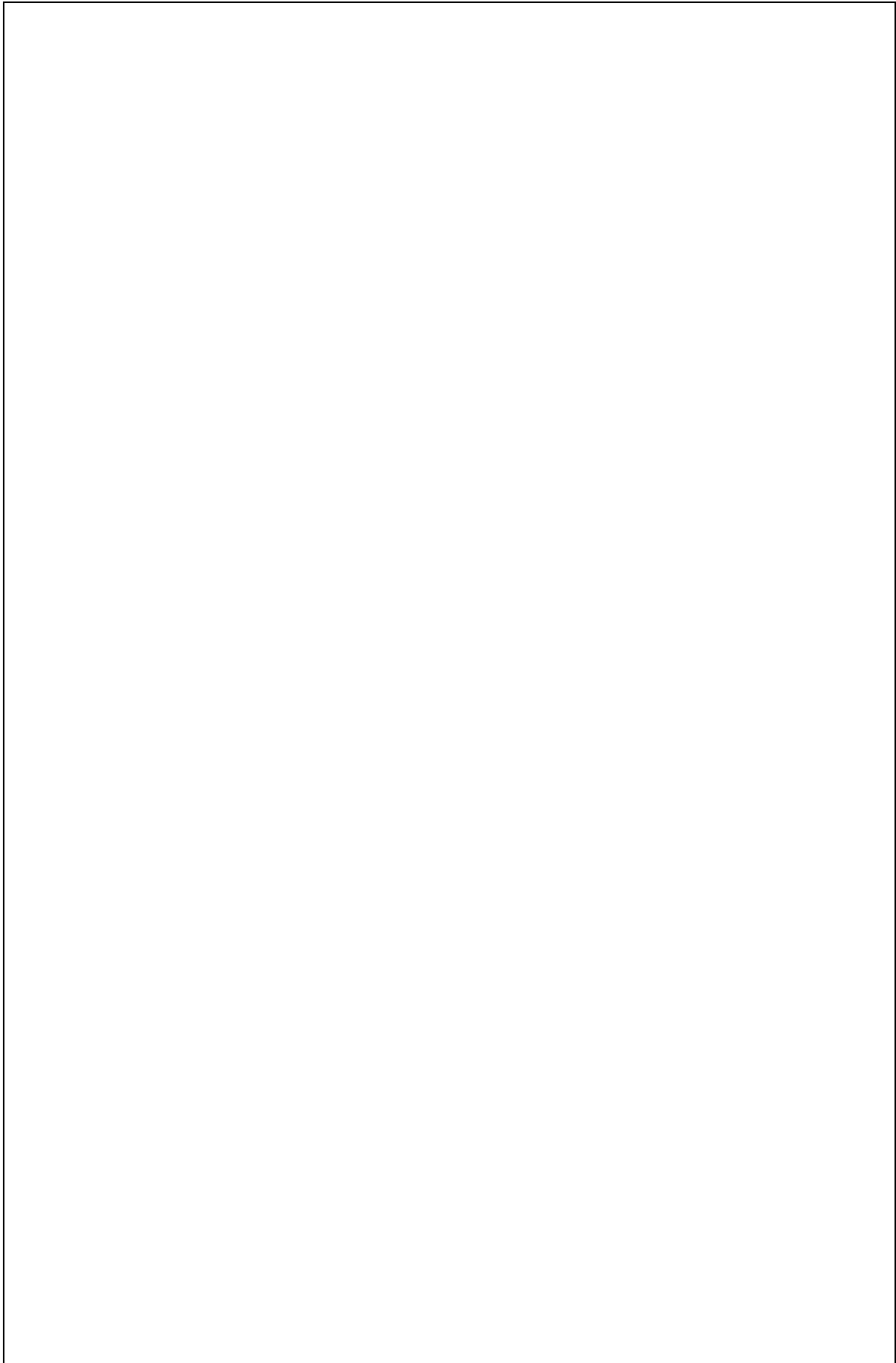
5. DETAILS/PARTICULARS OF ALLEGED SEXUAL HARASSMENT / HARASSMENT (who, what, where, when and how, i.e. the impact/effect each incident had upon you)

Please attach additional pages if necessary.

You may have regard to the following as a guide for completing this section.

- *Clear specific allegations against named individual(s)*
- *Dates and times of incident(s)*
- *Direct quotes if they can be recalled.*
- *A brief description of the context of each incident.*
- *A brief description of the impact/effect each incident had on you.*
- *Any other relevant supporting evidence.*
- *Except for mediation, details of previous approaches made to the respondent (if any) and the outcome of same.*





[Empty rectangular box for providing details]

6. WITNESSES (please provide contact details of witnesses to the alleged Sexual Harassment / Harassment)

FULL NAME (below)	CONTACT NUMBER OR EMAIL
FULL NAME	CONTACT NUMBER OR EMAIL
FULL NAME	CONTACT NUMBER OR EMAIL

7. LOCAL MANAGEMENT

Have you reported this matter to anyone else?

YES

NO

If **YES**, whom did you report the matter to and what has happened since the report was made?

8. SUPPORTING EVIDENCE ATTACHED TO THIS COMPLAINT

Please list any supporting evidence/documents with this Complaint Form (e.g. emails, diary entries, etc.). Supporting evidence/documentation should be specifically referenced in the complaint form and must be relevant to the detail/particulars of the complaint.

[Empty rectangular box for input]

9. ADDITIONAL INFORMATION (Do you have any other information relevant to your complaint?)

[Large empty rectangular box for input]

10. DECLARATION

I declare that the information provided in this complaint form is true and correct to the best of my knowledge. I understand that by submitting this completed form that my complaint will now be processed by way of the *Policy for the Prevention and Resolution of Sexual Harassment and Harassment at Work*.

Signature of the person making the complaint

Date

Return this completed form to the Head of Human Resources, <Insert ETB name and contact details>.

**Formal Procedure Stage 1, Policy for the Prevention and Resolution of Sexual Harassment and Harassment at Work (Version: September 2025).*

TEMPLATE E2 – Response to Complaint Form Exemplar

<Insert ETB LOGO>

Workplace Sexual Harassment / Harassment Response to Complaint Form

The response to complaint form must be used to respond to a formal complaint.

Harassment is defined in section 14A (7) of the EEA as any form of unwanted conduct related to any of the prohibited grounds which has the purpose or effect of violating a person's dignity and creating an intimidating, degrading, humiliating or offensive environment for the person. Harassment or Sexual Harassment / Harassment that is not linked to one or more of the discriminatory grounds is not covered by the EEA.

Sexual harassment is defined in section 14A (7) of the EEA as any form of unwanted verbal, non-verbal or physical conduct of a sexual nature which has the purpose or effect of violating a person's dignity and creating an intimidating, degrading, humiliating or offensive environment for the person.

These are legally distinct concepts and so a behaviour can be deemed either sexual harassment and harassment or harassment, not both.

Before completing this form, it is recommended that you familiarise yourself with the ETB's *Policy for the Prevention and Resolution of Sexual Harassment and Harassment at Work*.

The form **must be completed in full**. By way of guidance, you should have regard to responding to the complaint details made against you referencing such matters as:

- Responding clearly to the specific allegations made against you
- Dates and times of any relevant incident(s)
- **A list of witnesses, if any.** The respondent is required to submit the names and contact details of witnesses to specific incident/s (if any) in a list as part of the response to the complaint form submitted and within the timeframe prescribed by the policy. Only persons who are in position to offer direct evidence in respect of an alleged incident(s) may be nominated by the complainant. Generalised statements in the nature of

character references are not witness statements. Copies of witness statements (if any) will be provided to both parties to the complaint in accordance with natural justice and fair procedure.

- Direct quotes if they can be recalled.
- Your response to each incident alleged.
- A brief description of the impact/effect each incident had on you.
- Any other relevant supporting evidence.
- Except for mediation, details of previous approaches made to the complainant (if any) and the outcome of same.

You should complete and submit this form using the contact details provided at the end of this form.

1. PERSONAL DETAILS (of the person responding to the complaint)

FULL NAME	AND	WORKPLACE ADDRESS
TELEPHONE NUMBER		EMAIL ADDRESS

2. WORKING RELATIONSHIP TO YOU (please tick)

MANAGER/SUPERVISOR	SUBORDINATE
CO-WORKER	OTHER

3. RESPONSE TO THE PARTICULARS OF ALLEGED SEXUAL HARASSMENT /HARASSMENT:

(Please provide full and specific responses to each claim contained in the Complaint Form, providing a full explanation, including the names of witnesses*, if any.

Please attach additional pages if necessary.

You have regard to the following as a guide for completing this section.

- *Direct quotes if they can be recalled.*
- *A brief description of the context of each incident.*
- *A brief description of the impact/effect each incident had on you.*
- *Any other relevant supporting evidence.*
- *Except for mediation, details of previous approaches made to the respondent (if any) and the outcome of same.*

4. WITNESSES (please provide contact details of witness/es) *

FULL NAME

CONTACT NUMBER OR EMAIL

FULL NAME	CONTACT NUMBER OR EMAIL
FULL NAME	CONTACT NUMBER OR EMAIL

5. SUPPORTING EVIDENCE ATTACHED TO THIS RESPONSE

Please list any supporting evidence/documents with this Response Form (e.g. emails, diary entries etc.). Supporting evidence/documentation should be specifically referenced in the response form and must be relevant to the detail/particulars of the incident/s alleged in the complaint.

6. ADDITIONAL INFORMATION (Do you have any other information relevant to your response to the complaint)

7. DECLARATION

I declare that the information provided in this Response to Complaint Form is true and accurate to the best of my knowledge.

Signature of the Respondent

Date

Return this completed form to the Head of Human Resources, <Insert ETB name and contact details>.

**Formal Procedure Stage 1, Policy for the Prevention and Resolution of Sexual Harassment and Harassment at Work (Version: September 2025)*